

# Lecture 5: Emittances & Phase Space

$n\beta^2$  OR

1 particle, many, or none?

Steve Peggs  
January 24, 2024

EMITTANCE

HOW STANDARDS PROLIFERATE:  
(SEE: A/C CHARGERS, CHARACTER ENCODINGS, INSTANT MESSAGING, ETC)



R. Munroe, XKCD comic strip #927, 2015.

One turn matrix

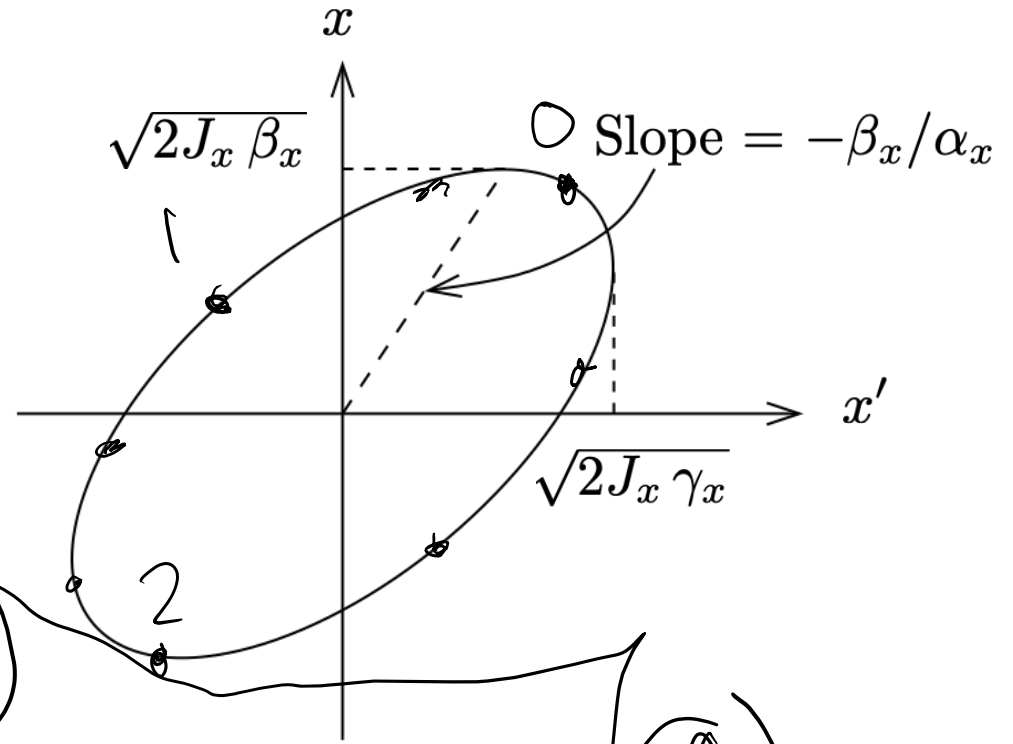
$$M = \begin{pmatrix} \cos(\mu) + \alpha \sin(\mu) & \beta \sin(\mu) \\ -\gamma \sin(\mu) & \cos(\mu) - \alpha \sin(\mu) \end{pmatrix}$$

NO PARTICLE define Twiss para.  $\beta, \alpha, \gamma$

$\Rightarrow$  optical properties of the lattice.

(- But what about single pass systems,  
e.g. linac or transfer line? )

# 5.1 The phase-space ellipse described by the Twiss parameters and the action $J_x$



ONE PARTICLE

$$x_n = \sqrt{2J_x \beta_x} \sin(\phi_x)$$

$$x'_n = \sqrt{2J_x / \beta_x} [\cos(\phi_x) - \alpha_x \sin(\phi_x)] \quad \text{(A)}$$

Where  $J_x$  (action) is a constant &

$$\phi_x = 2\pi Q_x \cdot n + \phi_0$$

$n$  is turn number,  
 $(J, \phi)$  are "Action-angle" co-ordinates

Recall the TWISS IDENTITY

$$\beta\gamma = 1 + \alpha^2$$

so that  $\det(M) = 1$

Invert (A) to give for ANY  $n$  value

$$2J_x = \beta_x x'^2 + 2\alpha_x x x' + \gamma_x x^2$$

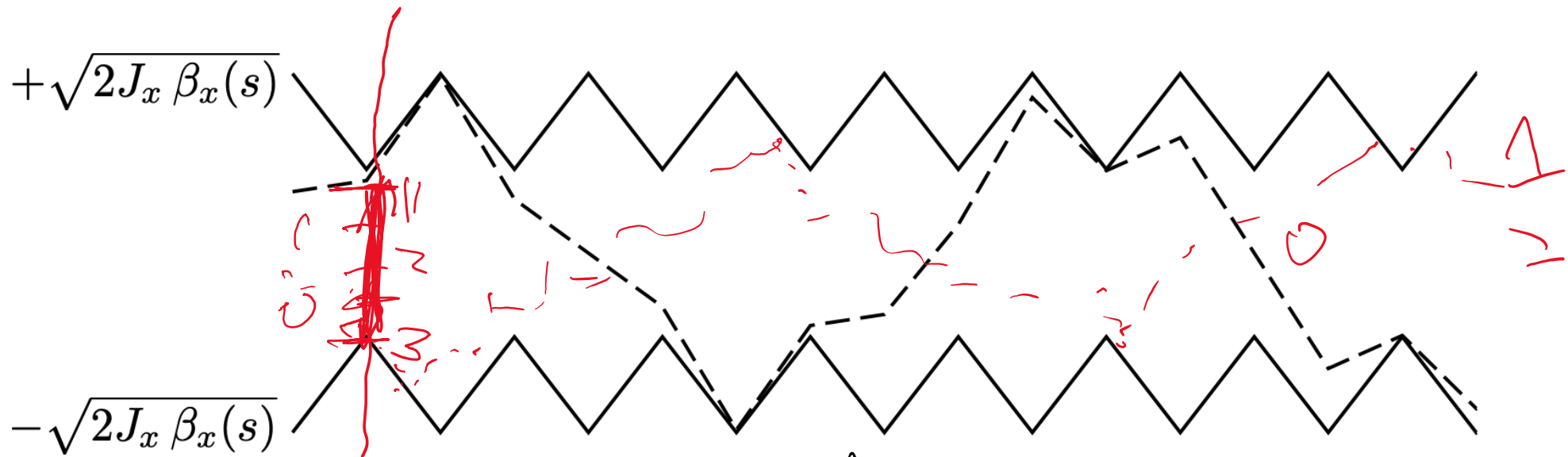
Length

How to calculate action

Area of the ellipse is  $A_x = 2\pi J_x$

EVERYWHERE in ring, despite ellipse stretching etc, it's with  $\beta(s), \alpha(s), \gamma(s)$

## 5.2 Horizontal oscillations within a betatron envelope, for a FODO channel of 80 degrees per cell.



RMS displacement of ONE PARTICLE is

$$\sigma_{x,1} \equiv \langle x^2 \rangle^{1/2} = 2\sqrt{J\beta} \langle s_{\text{osc}}^2(\phi) \rangle$$

$$\sigma_{x,1} = \sqrt{J_x \beta_x}$$

SIMPLE !

**MANY PARTICLES** with an action distribution of  $P(J)$ , with

$$N = \int_0^{\infty} P(J) \cdot dJ \quad \text{particles per bunch}$$

RMS size of the bunch is

$$\begin{aligned} \sigma_x^2 &= \frac{1}{N} \int_0^{\infty} \sigma_{x,1}^2 P(J_x) \cdot dJ_x \\ &= \beta_x \cdot \frac{1}{N} \int_0^{\infty} J_x P(J) \cdot dJ \end{aligned}$$

$$= \beta_x \langle J_x \rangle$$

$$\sigma_x^2 = \beta_x \epsilon_{x,u}$$

$\epsilon_{x,u}$  unnormalised emittance is  
**JUST** mean action!!

SIMPLE... so long as we stick to  
RMS measures...

More generally

$$\begin{pmatrix} \langle x^2 \rangle \\ \langle xx' \rangle \\ \langle x'^2 \rangle \end{pmatrix} = \epsilon_{x,u} \begin{pmatrix} \beta_x \\ -\alpha_x \\ \gamma_x \end{pmatrix}$$

Shows how Twiss parameters connect to  
beam distributions... NOT necessarily  
Gaussian !!



In general we ignore coupling between  $x, y, z$  planes, but what about DISPERSION?

$$x_{TOT,n} = \sqrt{2J_x \beta_x} \sin(\phi_{x,n}) + \eta \cdot \delta_n$$

$$y_n = \sqrt{J_y \beta_y} \sin(\phi_{y,n})$$

where

$$\delta_n = d_s \sin(\phi_{s,n})$$

IF  $\phi_{x,n}$  &  $\phi_{s,n}$  are uncorrelated

THEN

$$\sigma_{x,TOT}^2 = \beta_x \epsilon_{x,n} + \eta^2 \left( \frac{\sigma_p}{p_0} \right)^2$$

MEAN  
SQUARE  
SUM !!

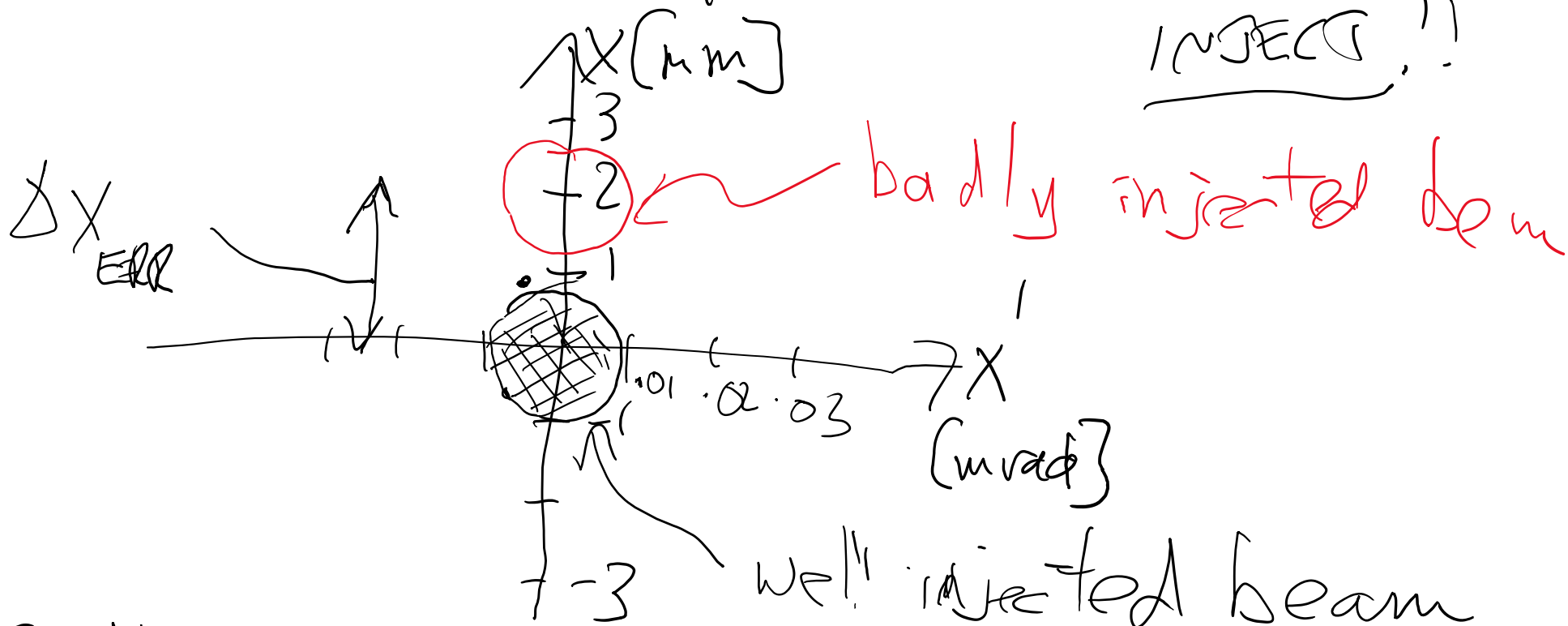
RMS measures are simple ... in a  
quasi-static storage rings.

WHAT HAPPENS IN THE RADICAL  
TRANSIENTS AT INJECTION?

# FILAMENTATION & TUNE SPREAD

SCENARIO: Assume  $\beta_x = 100 \text{ m}$ ,  $\alpha_x = 0$

INJECT !!



Badly injected ~~beam~~ particles have a typical action of  $J \sim \frac{\Delta X_{ERR}^2}{\beta_x} \pm \dots$

... with a spread in tunes because

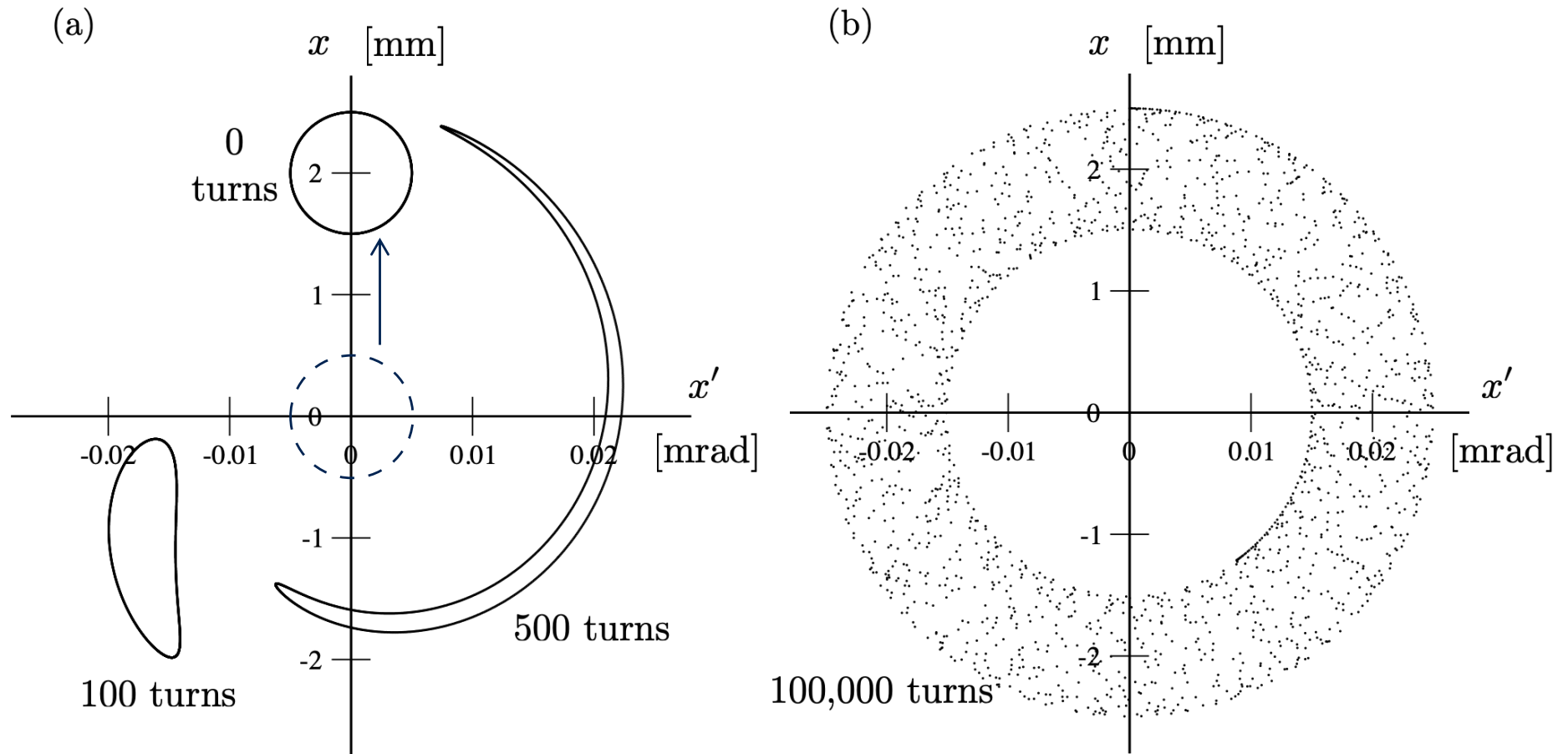
$$Q(J) = Q(0) + \frac{dQ}{dJ} \cdot J$$

e.g. 28.15

↑  
approximately constant  
(it turns out)

S

## 5.3 Phase space filamentation of a badly injected beam. No significant azimuthal structure is left after 100,000 turns.



CONCLUDE: When transients die down  
(filamentation is complete) the AREA occupied  
by the bunch is FORMALLY the same as  
initially, but WHO CARES (for practical purposes)!

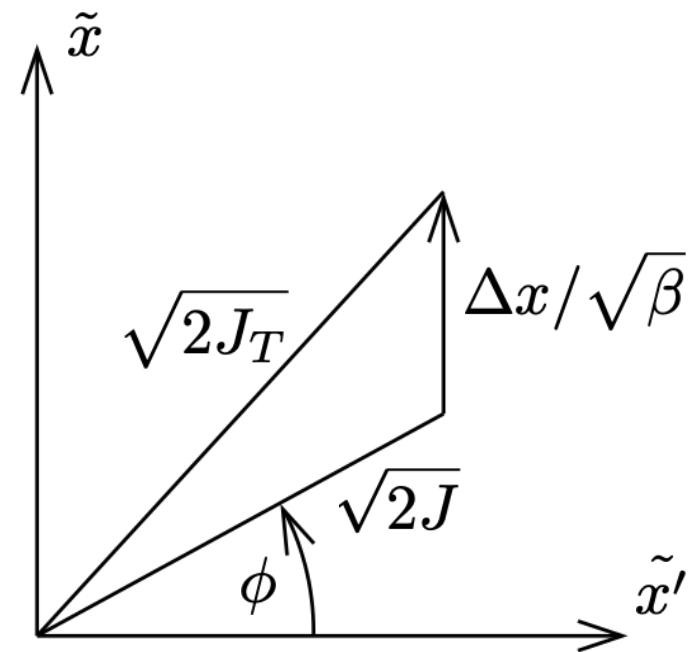
---

## DISPLACEMENT ERRORS

---

ONE PARTICLE

5.4 A particle injected with a pure displacement error of  $\Delta x$  that increases its action to  $J_T$



$$J_T = J + \Delta x \sqrt{\frac{2J}{\beta}} \cos(\phi) + \frac{\Delta x^2}{2\beta}$$

MANY PARTICLES

Average over  $\phi$ ,

$$\langle \cos(\phi) \rangle = 0$$

$$E_{v,T} = E_{v,0} + \frac{\Delta x^2}{2\beta_x} = E_{v,0} \left[ 1 + \frac{\Delta x^2}{2\sigma_x^2} \right]$$

# MOMENTUM ERROR

 $\delta_{ERR}$ 

If incoming beam is slightly off-momentum, then since

$$X_{TOT} = X + \eta \cdot \delta$$

analogous to

$$\Delta X = -\eta \delta_{ERR}$$

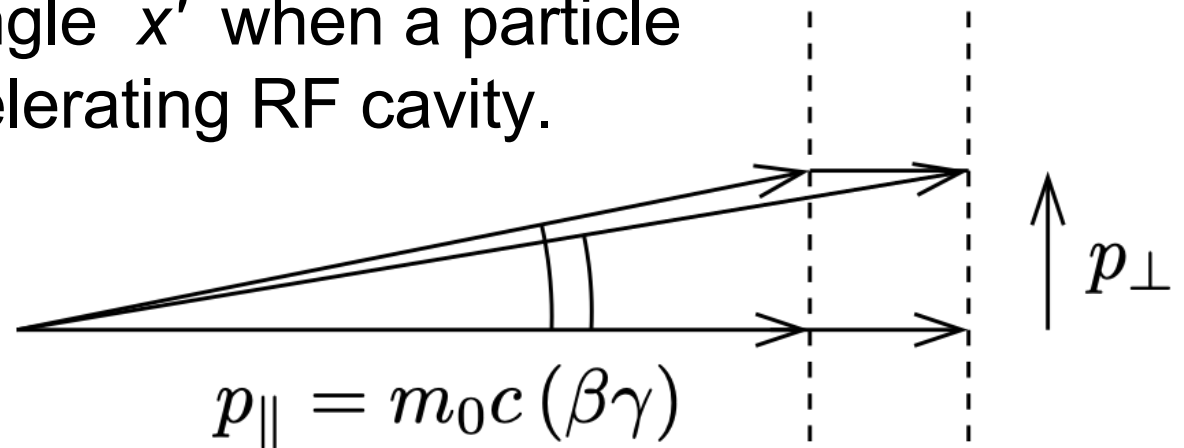
and

$$\epsilon_{v,T} = \epsilon_{v,0} + \frac{\eta^2}{\beta} \delta_{ERR}^2$$

shows why  $\eta = \eta' = 0$  is a GOOD THING at injection location: **DISPERSION SUPPRESSORS!!**



## 5.5 Shrinkage of the angle $x'$ when a particle passes through an accelerating RF cavity.



NORMALISED EMITTANCE / ADIABATIC DAMPING

When RF accelerates the beam by  $\Delta(\beta\gamma)$  the angle of the particle  $x' = p_{\perp} / p_{||}$  decreases

$$x' \rightarrow x' / \left(1 + \frac{\Delta(\beta\gamma)}{\beta\gamma}\right)$$

Averaging over MANY particles with the  
same  $J$

$$\langle J \rangle_{\text{NEW}} = \frac{\langle J \rangle}{1 + \frac{4\beta\gamma}{\beta\gamma}}$$

and the UNnormalised emittance shrinks

$$\epsilon_v = \frac{\epsilon_N}{\beta\gamma}$$

NORMALISED EMITTANCE  
IS "CONSTANT" IN

$$\sigma^2 = \frac{\beta\epsilon_N^2}{(\beta\gamma)}$$

HARROW machines

ELECTRONS? SYNCH. RAD?

# ELECTRONS or PROTONS?

Electrons radiate MANY photons per turn, both damping ( $H + V$ ) and exciting ( $H$ ) betatron oscillations, rapidly coming to equilibrium. (See later lecture.)

## 1) PROTONS/IONS

$$\epsilon_{v,x} = \frac{\epsilon_{n,x}}{\beta\gamma}$$

$$\epsilon_{v,y} = \frac{\epsilon_{n,y}}{\beta\gamma} \approx \epsilon_{v,x}$$

$\epsilon_n$  is (quite) constant

} "RINGS"  
BEAMS

## 2) ELECTRONS

$$E_{v,x} = E_{v,x}(\gamma, \text{OPTICS})$$

$$E_{v,y} \ll E_{v,x}$$

$E_N$  is 1st very useful!

FLAT  
BEAMS

# SINGLE PASS SYSTEMS (LINACS, transfer lines)

Can't use one-turn matrices with PBC to define Twiss parameters

PARADIGM SHIFT: Beam distribution defines  $\beta, \alpha, \gamma$

$$\begin{pmatrix} \beta_x \\ -\alpha_x \\ \gamma_x \end{pmatrix} = \frac{1}{\epsilon_{x,A}} \begin{pmatrix} \langle x^2 \rangle \\ \langle xx' \rangle \\ \langle x'^2 \rangle \end{pmatrix}$$

where AREA EMITTANCE

$$\epsilon_{x,A} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2}$$

(cf. Twiss identity)

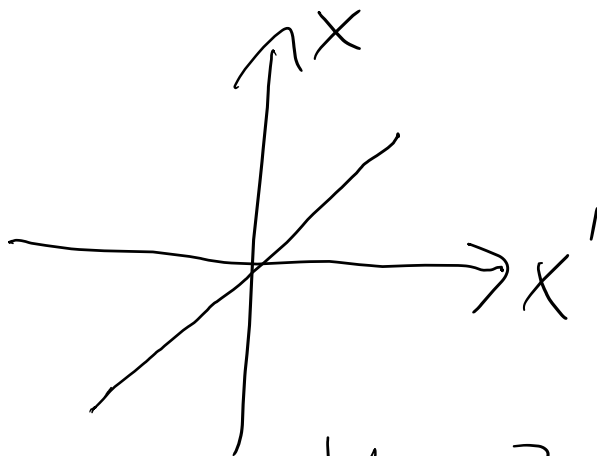
$\epsilon_{x,A}$  may have little to do with BEAM  
area in phase space!!

CONSIDER 2 "WANGLER" DISTRIBUTIONS

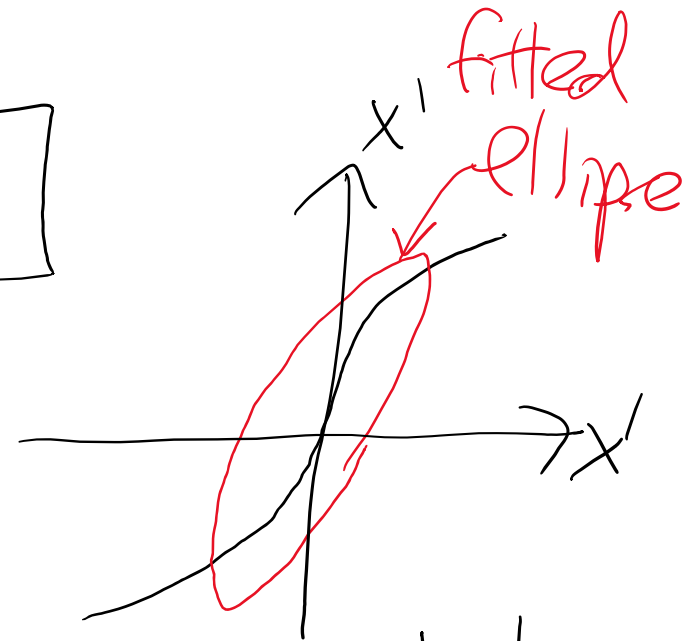
$$x' = Cx^m \leftarrow \text{integer, eg 1 or 3}$$

$\uparrow$   
constant

$m=1$



$m=3$



In both cases the BUNCH area is zero, but ...

... while

$$E_{x,A} = C \sqrt{\langle x^2 x^{2m} \rangle - \langle x^{m+1} \rangle^2}$$

$$= 0 \text{ for } m=1 \quad > 0 \text{ for } m \geq 3$$

TWISTED LINES CAN STRAIGHTEN  $\Rightarrow$

$E_{x,A}$  MAY DECREASE !!

---

# LONGITUDINAL PARAMETERS

RMS bunch length  $\sigma_z$  & momentum spread  
 are "constant" around a ring.  $\sigma_p = \frac{\langle \Delta p^2 \rangle^{1/2}}{p_0}$

**ONE** convenient longitudinal normalised  
 emittance is  $\epsilon_s$ , where

$$\sigma_s = \sqrt{\frac{\beta_s \epsilon_s}{(\beta\gamma)}}, \quad \sigma_p = \sqrt{\frac{\epsilon_s}{\beta_s (\beta\gamma)}}$$

REMARKABLY

$$\beta_s = \frac{\sigma_s}{\sigma_p} = \frac{C}{2\pi} \cdot \frac{|m_s|}{Q_s}$$

$$\frac{1}{\gamma_+^2} - \frac{1}{\gamma^2}$$

Exciting things happen when  $\gamma \rightarrow \gamma_+$  and  $m_s \rightarrow 0!!$