

# Lecture 9: Sextupoles & Chromaticity

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**Catch-22:** ... a concern for one's own safety in the face of dangers that were real and immediate was the process of a rational mind. Orr was crazy and could be grounded. All he had to do was ask; and as soon as he did, he would no longer be crazy and would have to fly more missions.”

Joseph Heller, “Catch-22”.

- A) Chromaticity in a FODO lattice
- B) Chromaticity correction
- C) The Henon map
- D) Taxonomy of 1-D motion
- E) Dynamic Aperture

# A) Chromaticity in a FODO lattice

- SEXTUPOLES are (usually) necessary to avoid NON-linear resonances ... that are most often caused by sextupoles.

- Betatron motion of a particle with  $\delta = \frac{\Delta p}{p}$  const.

$$x'' + \frac{k}{(1+\delta)} \cdot x = 0$$

$$y'' - \frac{k}{(1+\delta)} \cdot y = 0$$

} Hill's equations with quads that weaken with  $\delta$

- CHROMATICITY measures the rate of change of tune with momentum:

$$\chi = \frac{dQ}{d\delta}$$

Both  $Q_x$  &  $Q_y$  naturally decrease with increasing  $\delta$

# EQ 1 FOOD LATTICE WITH N CELLS

Each cell has  $\phi$  phase advance, so

$$Q = \frac{N}{2\pi} \cdot \phi$$

so NATURAL (uncorrected) chromaticity is

$$\chi_{\text{NAT}} = \frac{N}{2\pi} \frac{d\phi}{d\delta}$$

↳ following Equ 3-48

$$\frac{d\phi}{d\delta} = -2 \tan\left(\frac{\phi}{2}\right)$$

so

$$\chi_{x,\text{NAT}} = \chi_{y,\text{NAT}} = \frac{-Q \tan(\phi/2)}{\phi/2} \approx -Q$$

THIS RULE OF THUMB often holds.

EQ 2

RHIC UNCORRECTED

$$\chi_{\text{NAT}} \approx -50$$

RMS momentum spread  $\frac{\sigma_p}{p} = (\delta^2)^{1/2} \approx 2 \times 10^{-3}$

- UNCORRECTED  
TUNE SPREAD :

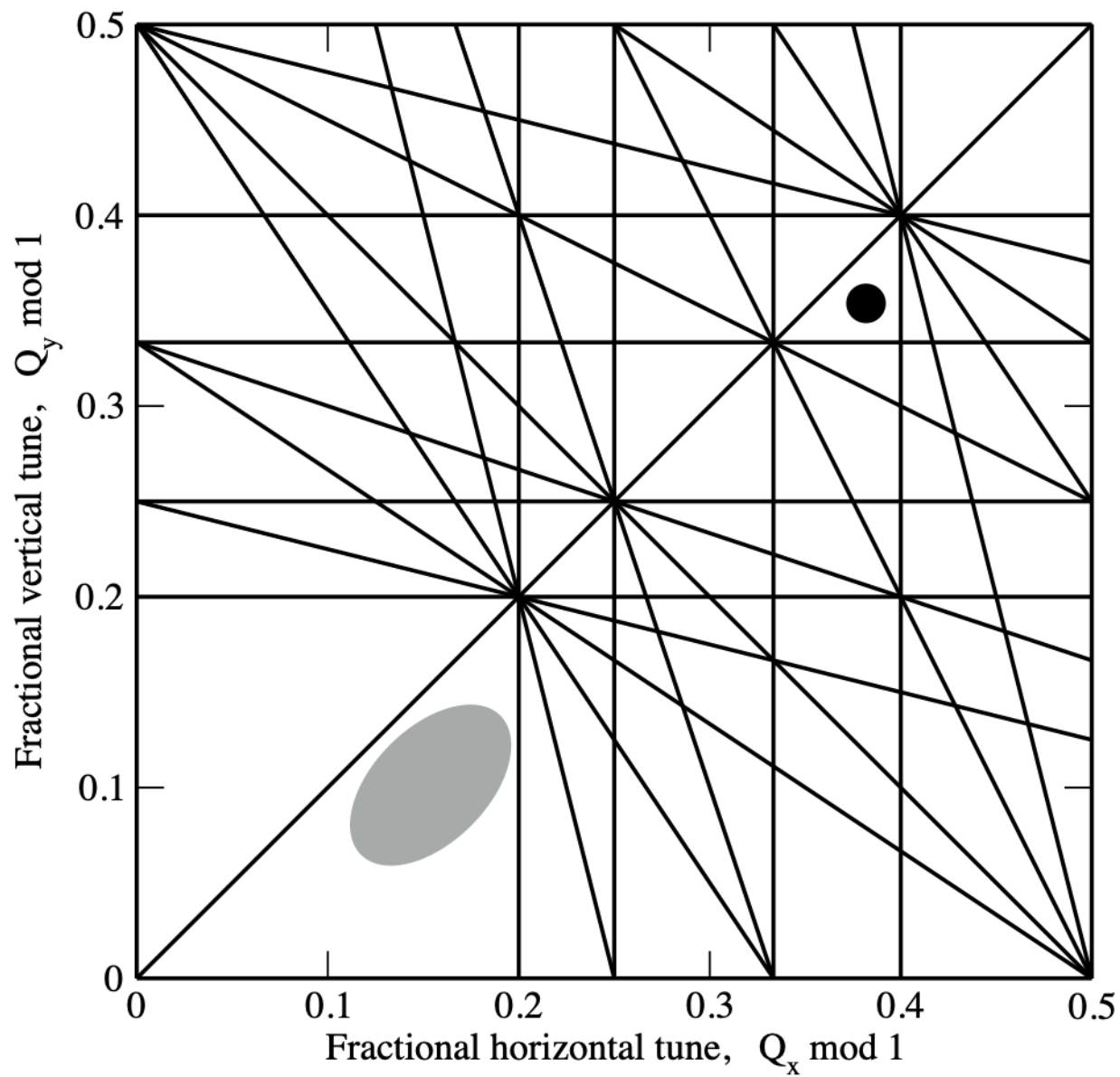
$$\sigma_Q = |\chi_{\text{NAT}}| \frac{\sigma_p}{p} \approx 0.1 !!$$

- UNACCEPTABLY limits the available  
tune space locations

$\Rightarrow$  MUST DECREASE  $|\chi|$

to  $\sim 1$  or  $\sim 2$

## 9.2 Tune plane lines $p = q Q_x + r Q_y$ up to order 5

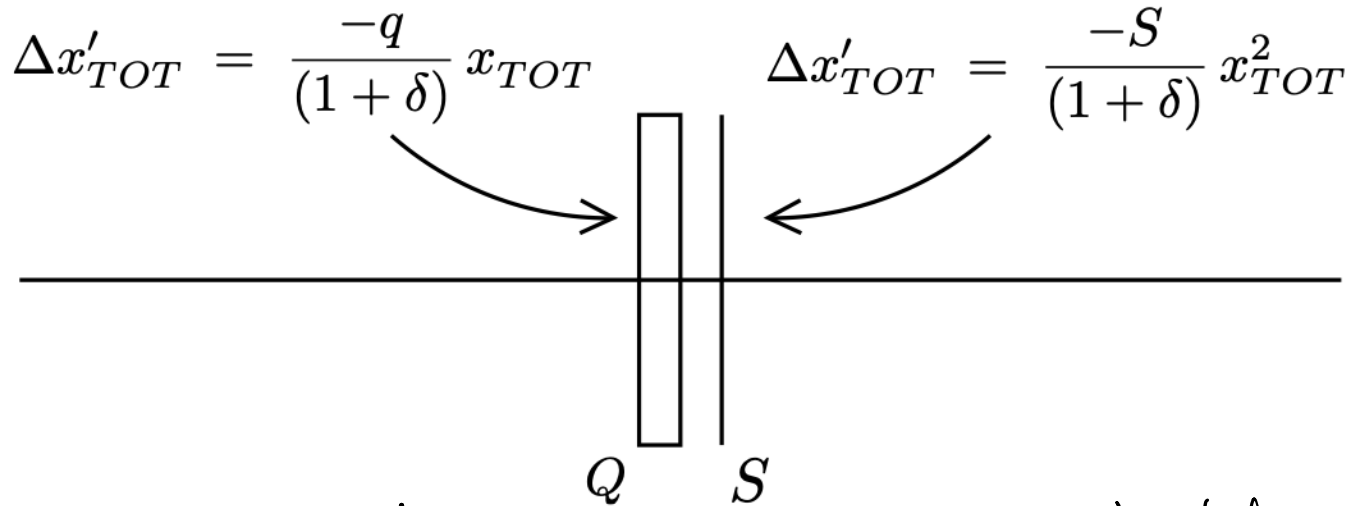




## B) Chromaticity correction

EG3

9.1 A sextupole strength  $S$  next to EVERY quad strength  $q$



- FIRST separate closed orbit + betatron oscillations

$$x_{TOT} = \underbrace{\eta \delta}_{\text{CONSTANT}} + \underbrace{x}_{\text{OSCILLATION}}$$

CONSTANT      OSCILLATION

- THEN expand composite = quad-sext kick:

$$\Delta x'_{TOT} = -q(1-\delta)(\eta\delta + x) - S(1-\delta)(\eta\delta + x)^2 + \dots$$

- AND collect terms in  $x^n \delta^m$

# COLLECTING TERMS in $x^n \delta^m$

$$(\Delta\eta')\delta + \Delta x' = -q\eta\delta$$

$$-q \cdot x - S \cdot x^2$$

$$+q \cdot x\delta - S \cdot 2\eta \cdot x\delta + S \cdot x^2\delta$$

$$+O(\delta^2)$$

$$x^0 \delta^1$$

$$x^m \delta^0$$

GEOMETRIC  
TERMS

- TO ORDER  $x^1 \delta^1$ , dropping constants

$$\Delta x' = -q \cdot x + (q - 2\eta S) \delta \cdot x$$

- IF  $S = \frac{q}{2\eta}$  at every quad-sext pair

THE "effective" quad strength is  
independent of  $S$  !!

$$S = \frac{q}{2M}$$

In a FODO lattice  $\mu_F \approx 2\mu_D$

$$S_F \approx -\frac{1}{2} S_D$$

Q1: What happens if  $\mu$  is small?

[Q2: What do the geometric terms do?]

See later: Hénon map

## EG 4: CHROMATICITY CORRECTION, GENERAL

- Previously (Equ 8.29) if a quad changes strength by  $\Delta q$ , tune changes by

$$\Delta Q = \frac{\beta_0}{4\pi} \Delta q$$

- Quad strength weakens with  $S$  like

$$\frac{dq}{dS} = -q$$

- Sextupolar chromaticities are  $\begin{pmatrix} \chi_x \\ \chi_y \end{pmatrix} = -\frac{1}{4\pi} \begin{pmatrix} \sum q \beta_x \\ \sum -q \beta_y \end{pmatrix}$

where sums  $\sum$  are over all (thin) quads

- STRENGTH  $q$  is usually +ve (-ve) when  $\beta_x$  ( $\beta_y$ ) is large

$\Rightarrow$  NATURAL CHROMATICITIES ARE NEGATIVE

- SEXTUPOLES contribute a QUAD component

$$\frac{d\eta}{ds} = 2\eta S$$

- So ONE FAMILY of sextupoles changes chroms.

$$\Delta \begin{pmatrix} x_x \\ x_y \end{pmatrix} = \frac{S_i}{2\pi} \begin{pmatrix} \sum \eta \beta_x \\ \sum -\eta \beta_y \end{pmatrix}$$

$\eta_x$  is H  
 $\eta_y$  is zero!

in different directions !!

- TWO FAMILIES placed near F & D are quads;

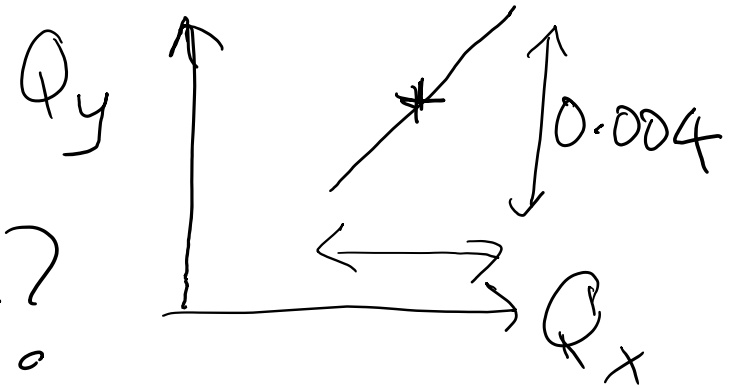
EQU 9-17

$$\begin{pmatrix} x_x \\ x_y \end{pmatrix}_{GOAL} = \frac{2}{4\pi} \begin{pmatrix} \sum_F \eta \beta_x & \sum_D \eta \beta_x \\ \sum_F -\eta \beta_y & \sum_D -\eta \beta_y \end{pmatrix} \begin{pmatrix} S_F \\ S_D \end{pmatrix} + \begin{pmatrix} x_x \\ x_y \end{pmatrix}_{NAT}$$

SOLVE for  $S_F$  &  $S_D$  by inverting the matrix!!

# EGS RHIC

$$\chi_{\text{GOAL}} = +2, \quad \frac{\sigma_p}{p} = 2 \times 10^{-3}$$



Q1: Why keep  $\chi$  slightly ~~true~~?

Q2: Why is TUNE FOOTPRINT usually a blob, not a straight line?

$$A2: Q_x = Q_x(0) + \frac{dQ}{dJ_x} \cdot J_x$$

cf filamentation  
see later

Q3: What drives the resonance lines? **Settles!!**

## C) The Henon map



HÉNON: "exhibits ALL TYPICAL PROPERTIES of  
... dynamical systems"

until finished {

(9.19)

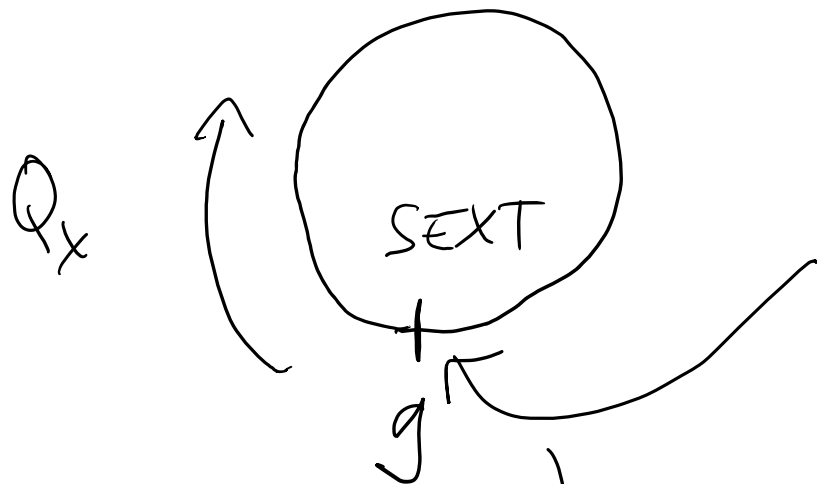
$$\begin{pmatrix} z \\ z' \end{pmatrix} = \begin{pmatrix} \cos(2\pi Q) & \sin(2\pi Q) \\ -\sin(2\pi Q) & \cos(2\pi Q) \end{pmatrix} \begin{pmatrix} z \\ z' \end{pmatrix} + \begin{pmatrix} 0 \\ z^2 \end{pmatrix}$$

}

GEOMETRIC TERMS ( $s=0$ )

- The ONLY control parameter, ~~is~~  $Q$ , ~~is~~ looks like the horizontal tune
- The  $z^2$  kick looks like a sextupole

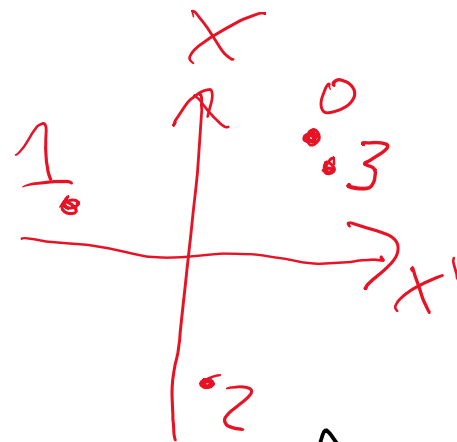
# ONE SEXTUPOLE, horizontal motion



reference point just BEFORE sextupole

KICK: 
$$\begin{pmatrix} x \\ x' \end{pmatrix}_{0+\epsilon} = \begin{pmatrix} x \\ x' - gx^2 \end{pmatrix}$$

ROTATE: 
$$\begin{pmatrix} x \\ x' \end{pmatrix} = \mathbb{R}(2\pi Q) \begin{pmatrix} x \\ x' \end{pmatrix}_{0+\epsilon}$$



EG 1 TUNE CLOSE TO  $\frac{1}{3}$

$$Q = \frac{1}{3} + \delta Q$$

- Net motion after 3 turns is small

$$\begin{pmatrix} x \\ x' \end{pmatrix}_3 - \begin{pmatrix} x \\ x' \end{pmatrix}_0 \approx$$

$$3\mu \begin{pmatrix} x' \\ x \end{pmatrix}_0 - g \left[ \begin{pmatrix} S_3 \\ C_3 \end{pmatrix} x_0^2 + \begin{pmatrix} S_2 \\ C_2 \end{pmatrix} x_1^2 + \begin{pmatrix} S_1 \\ C_1 \end{pmatrix} x_2^2 \right]$$

$M = 2\pi SQ$  strength

$C_k = \cos(k \frac{2\pi}{3}), S_k = \sin(k \frac{2\pi}{3})$

- MORE SUCCINCTLY : 3-TURN KOBAYASHI HAMILTONIAN

$$H_3 = \frac{\mu}{2} (x^2 + x'^2) + \frac{g}{a} \sum_{k=1}^3 (C_k x + S_k x')^3$$

$(x, x')$  IS NORMALIZED SPACE

where  $\Delta x \approx \frac{\partial H_3}{\partial x'} \cdot \Delta t$

$$\Delta x' \approx - \frac{\partial H_3}{\partial x} \cdot \Delta t$$

with  $\Delta t = 3$

-  $H_3$  is APPROXIMATELY constant along a trajectory

- Factorizing  $H_3$  gives

$$H_3 = \frac{g}{3} \left( \frac{2\mu}{g} \right)^3 + \frac{g}{12} \left( x + \sqrt{3}x' + \frac{4\mu}{g} \right) \left( x - \sqrt{3}x' + \frac{4\mu}{g} \right) \left( x - \frac{2\mu}{g} \right)$$

$0 =$        $+$        $\underbrace{\hspace{10em}}$        $\underbrace{\hspace{10em}}$        $\underbrace{\hspace{10em}}$

- EG 2:

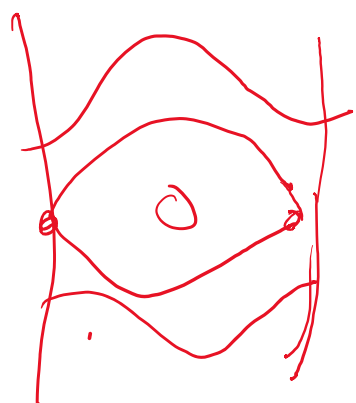
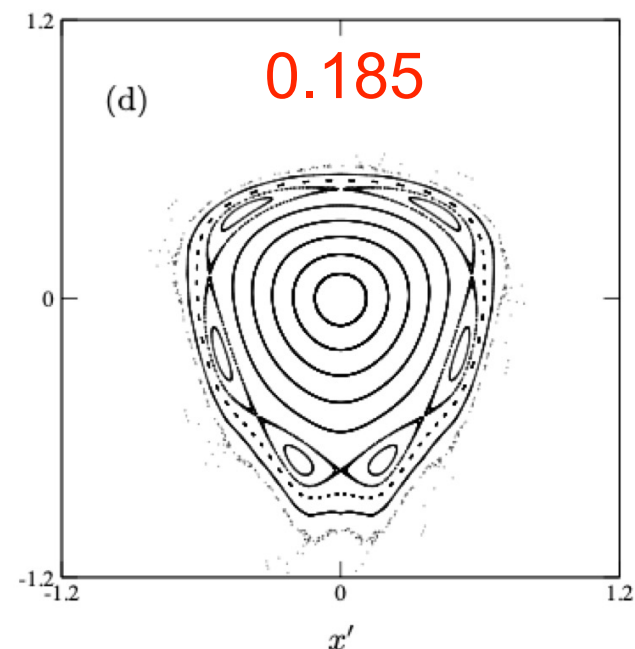
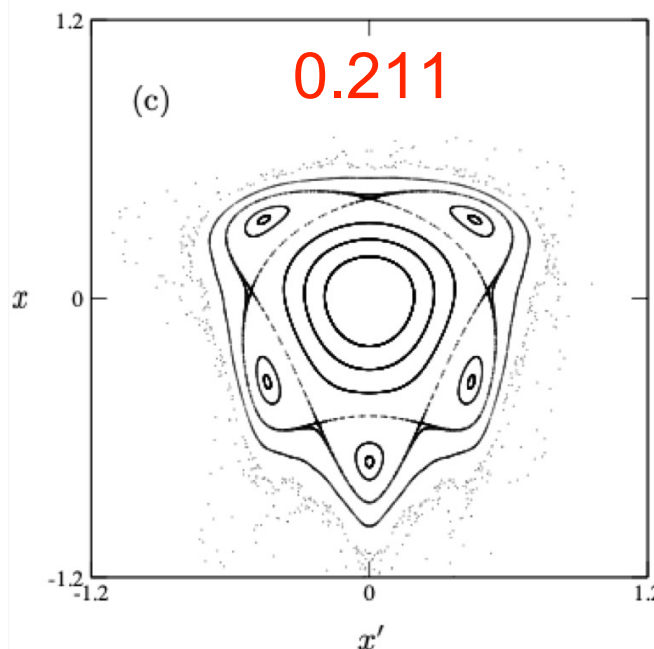
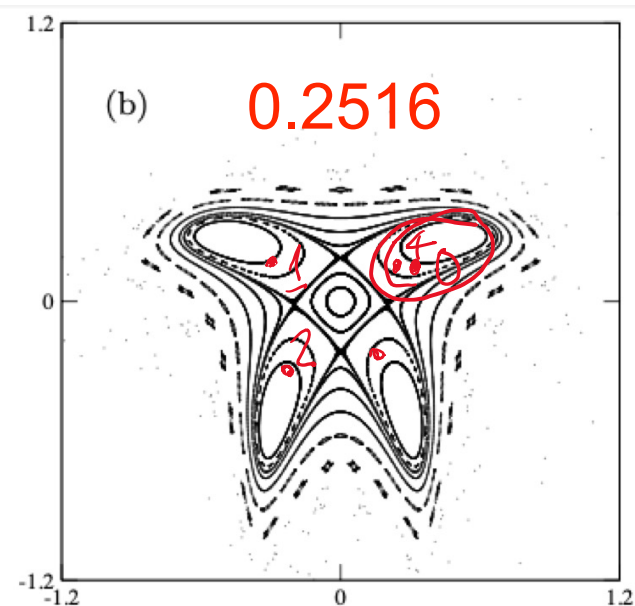
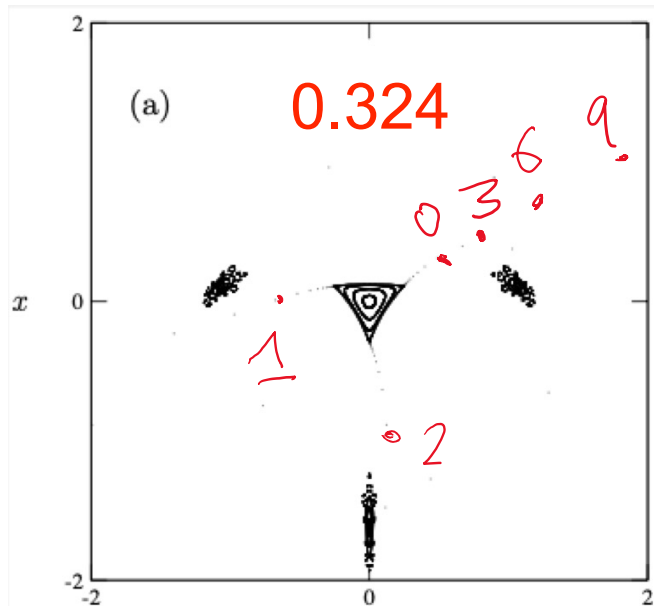
$$H_3 = \frac{g}{3} \left( \frac{2\mu}{g} \right)^3 :$$

0    OR    0    OR    0  
**3 STRAIGHT LINES!!**

$$0 = ( \quad ) ( \quad ) ( \quad )$$

~~Q~~  $Q = 0.324$

# 9.3 Henon map in normalized phase space at different tunes $Q$



$$\boxed{EQ \quad Q = 0.324}$$

- 3 STRAIGHT LINES !!
- Regular circular motion near the origin
- RAPID divergent motion along 3 arms  
(slow ~~extraction~~ extraction)
- 3 outer "islands" unpredicted by any perturbation theory

$$\boxed{EQ \quad Q = 0.2516} \approx 1/4$$

- = 4 RESONANCE ISLANDS ! Phase locking
- Island hopping
- Chains of many small islands appear

$$\boxed{EG \ 0.211} \approx 1/5$$

- CHAOTIC "FLY SPECK" DOTS are visually distinctive

- 5 islands are more rotationally symmetric

$$\boxed{EG \ Q = 0.185} \approx 1/6$$

- 6 smaller islands, closer to a DYNAMIC APERTURE

# D) Taxonomy of 1-D motion



# HENONS TAXONOMY of typical behavior

## ① REGULAR MOTION

- Roughly circular motion (normalized phase space)
- Enough turns (dots) make "continuous" lines

## ② REGULAR DIVERGENT (e.g. $Q = 0.324$ )

- Amplitude increases rapidly & regularly
- Exploit for resonant "slow" extraction

## ③ REGULAR RESONANT

- Island hopping = ONLY SOME PHASES ARE ACCESSIBLE !!

④ CHAOS : Fly specks visually distinctive !!

## LYAPUNOV EXPONENT

- Start 2 particles VERY close together  
(e.g. one digit in double precision)

32 bit  $10^{-13}$   
64 bit  $10^{-26}$

Q: How do they diverge in time?

e.g.  $D = (x_A - x_B)^2 + (x'_A - x'_B)^2$

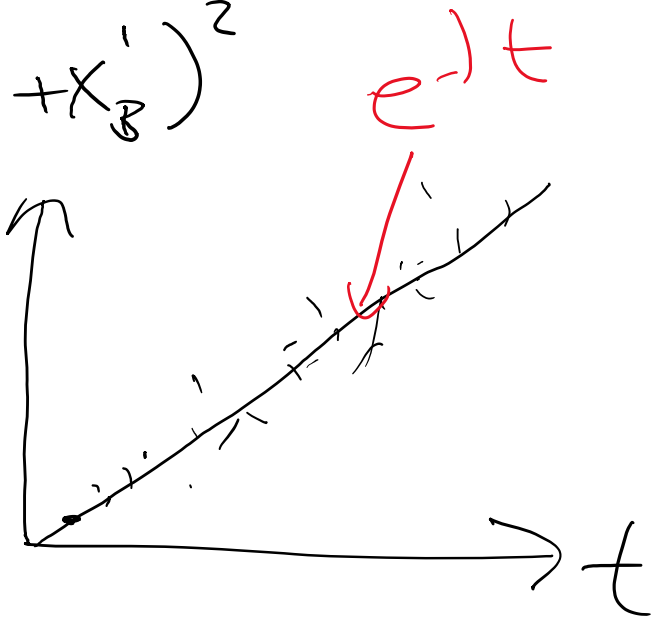
A1: LINEAR  $\Rightarrow$  Regular

A2: EXPONENTIAL  $\Rightarrow$  Chaos

$\log(D)$

$$D(t) \sim D_0 e^{\lambda t}$$

LYAPUNOV  
EXPONENT



# E) Dynamic Aperture (DA)

- IN SIMULATION there is (usually) a clear cut maximum amplitude beyond which particles rapidly get lost - hit the beam pipe

- IN REALITY there is noise/excitation/diffusion that drives particles across DA  
⇒ beam current decreases with time

- EVEN WITH JUST 1 SEXTUPOLE IN 1-D

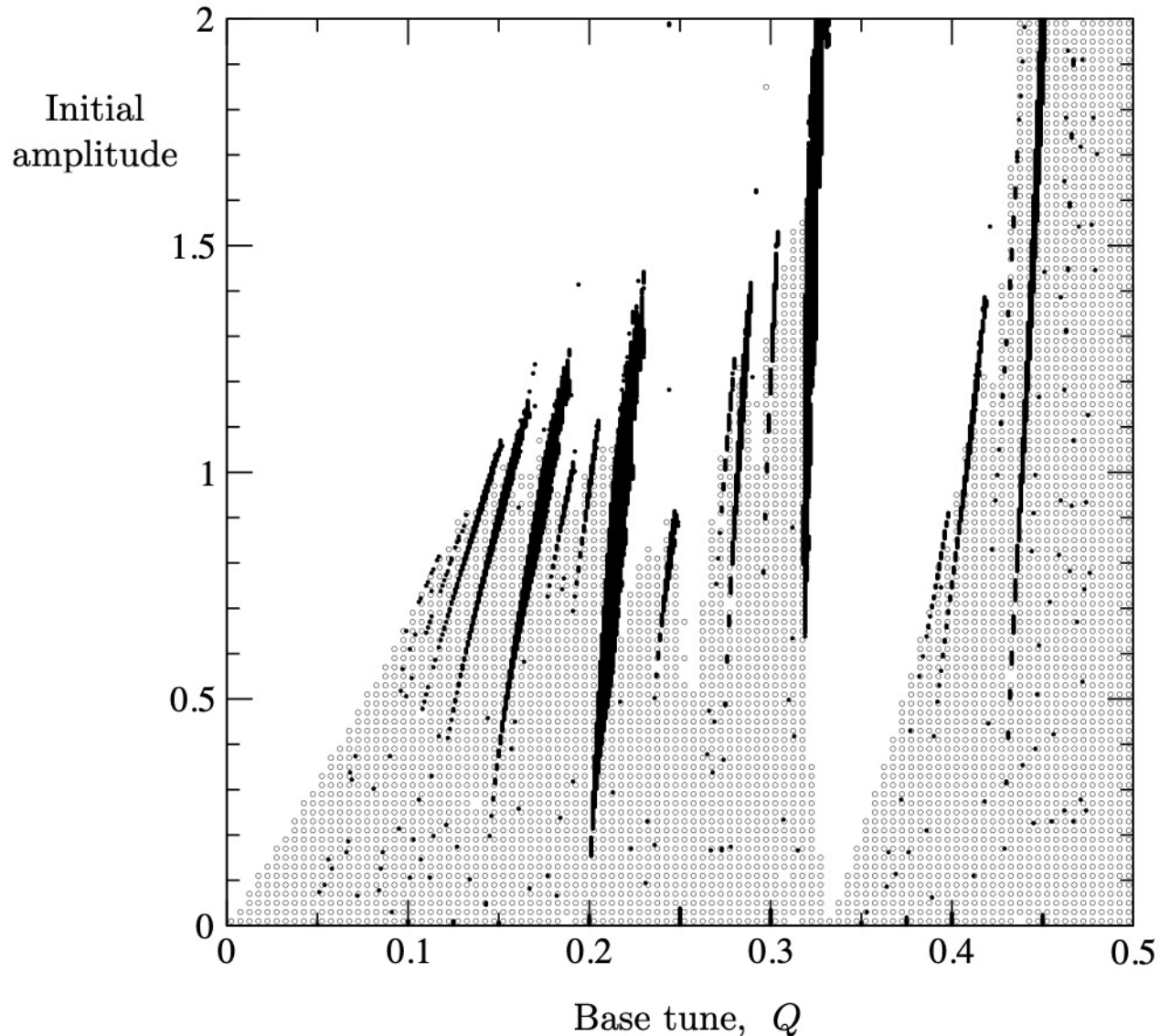
this is a complex story!!

Q1: Which tunes give better DAs?

Q2: Why is this naive? What is missing?

# 9.4 Dynamic Aperture vs tune under the Henon map.

Even one sextupole drives ALL resonances  $Q = I/N$



# IN THE END ...

... it is hard to disagree with Taff:

*“Beyond first-order theory I know of no useful result from perturbation theory in celestial mechanics ... Frequently the second approximation produces nonsensical results.”*